

**CITY OF TAMPA
INTERNAL AUDIT DEPARTMENT
FY2018 RISK ASSESSMENT**

Entity	Group	Total Score	Last Audit	Report Date
Grants Management	Revenue & Finance	4.5	09-10	3/30/2016
Wastewater - Industrial Waste & Pretreatment	Wastewater	4.4	18-17	
Workers Compensation	Human Resources	4.3	09-03	9/28/2009
Reactive Operations	Water	4.3	new	
Air Service	Police Department	4.2	07-01	6/30/2008
Wastewater - AWTP Operations	Wastewater	4.2	new	
Wastewater - AWTP Maintenance	Wastewater	4.2	new	
Rescue Division	Tampa Fire Rescue	4.1	13-05	1/14/2014
TSS - CIP Construction and Reactive Maintenance	TSS	4.1	new	
P&R - Park Services	Parks & Recreation	4.0	new	
Evidence Control Section	Police Department	4.0	11-04	9/27/2011
Wastewater - Capital Improvement Program	Wastewater	4.0	new	
Health Insurance and Claims	Human Resources	4.0	13-04	9/30/2013
Operations Division	Tampa Fire Rescue	4.0	14-13	1/16/2015
Housing Programs - CDBG Funding	Planning & Development	4.0	12-02	7/3/2012
Environmental Compliance - P&R	Parks & Recreation	3.9	new	
Wastewater - Environmental Lab	Wastewater	3.9	new	
Housing Programs - SHP Funding	Planning & Development	3.8	12-02	7/3/2012
Communications - TPD	Police Department	3.8	13-12	2/18/2014
Community Investment Tax	Revenue & Finance	3.8	13-08	10/28/2013
Communications - TFR	Tampa Fire Rescue	3.8	09-14	9/25/2009
Environmental Compliance - Water	Water	3.8	18-16	
Ecommerce Transaction Effectiveness Review	Technology & Innovation	3.7	new	
Wastewater - Pump Station Maintenance	Wastewater	3.7	new	
Housing Programs - HOME Investment Funding	Planning & Development	3.7	15-07	11/19/2015
TCC Administrative & Fiscal	Convention Center & Tourism	3.7	10-06	11/18/2010
CRA & TIFs	Economic Opportunity	3.7	13-10	1/6/2014
General Employees Pension Fund	Revenue & Finance	3.7	13-02	8/16/2013
Wire Transfers, Investments & Debt	Revenue & Finance	3.7	11-07	1/15/2013
TSS - Stormwater Engineering (include environmental compliance)	TSS	3.7	new	
TSS - Bridge & Pump Station Maintenance and Operations	TSS	3.7	13-11	2/17/2014
Preventive Maintenance	Water	3.7	new	
Billing	Water	3.6	14-07	6/9/2014
Extra Duty Program	Police Department	3.6	03-01	12/30/2003
General and Automobile Liability Insurance and Claims	Human Resources	3.6	16-07	8/12/2016
MSS Utility Accounting Controls and Application Review	Technology & Innovation	3.6	new	
Housing Programs - ESG Funding	Planning & Development	3.5	12-02	7/3/2012
TSS - Pavement Management	TSS	3.5	14-12	9/29/2015
Safety Program	Human Resources	3.5	10-09	9/28/2012
Real Estate	Planning & Development	3.5	14-06	5/8/2014
Minority Business Development	Chief of Staff	3.4	03-25	7/18/2003
Construction Services - Inspections	Planning & Development	3.4	17-20	2/26/2018
McKay Bay	Solid Waste & Environmental	3.4	14-10	7/10/2015
Contracted Services - Solid Waste	Solid Waste & Environmental	3.4	12-06	3/20/2013
Parking Garages/Lots	LAM	3.4	15-02	5/11/2015
IBM Z800/OS390 Security Review	Technology & Innovation	3.4	new	
TSS - Stormwater Zone Maintenance	TSS	3.4	new	
TCC Sales and Marketing	Convention Center & Tourism	3.4	12-05	10/18/2012
TSS - Transportation Engineering (survey, drafting)	TSS	3.4	new	
Oracle Controls and Application Review	Technology & Innovation	3.4	new	
Oracle Post Implementation Review	Technology & Innovation	3.4	new	
Police CAD (Versaterm) Controls and Application Review	Technology & Innovation	3.4	new	
Water Production Division	Water	3.4	16-04	2/27/2016

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Banking & Cashiering - Central Cashiering	Revenue & Finance	3.3	14-05A	12/11/2014
Credit, Collections, Call Center Operations, and Consumer Services	Water	3.3	14-11	6/9/2014
Employee Overtime	Citywide	3.3	06-24	8/28/2006
eGovernment transactions	Technology & Innovation	3.3	08-07	8/29/2008
Fire and Police Pension Office	Citywide	3.3	15-17	2/27/2016
P&R - Forestry	Parks & Recreation	3.3	new	
Fleet - Operations, Replacement Utilization, and Maintenance	LAM	3.2	15-15	1/17/2017
Wastewater - Collection System Preventative Maintenance	Wastewater	3.2	new	
Housing Programs - HOPWA Funding	Planning & Development	3.2	16-12	11/7/2016
Planning & Urban Design	Planning & Development	3.2	new	
Capital Construction	Contract Administration	3.2	14-01	8/26/2014
Banking & Cashiering - Scale House	Revenue & Finance	3.2	14-05D	3/12/2015
Inventory & Stores	Purchasing	3.1	06-11	1/10/2007
Environmental Compliance - Solid Waste	Solid Waste & Environmental	3.1	13-09	10/2/2013
Software Licenses	Technology & Innovation	3.1	07-15	9/28/2007
TSS - Inspections (Striping, Signs, Markings, Pavement, misc.)	TSS	3.1	new	
Fire Marshal	Tampa Fire Rescue	3.1	16-06	10/20/2016
Storage Capacity Review	Technology & Innovation	3.1	new	
Informant Fund & Others	Police Department	3.1	06-04	1/14/2006
ACH Transaction Processing Review	Technology & Innovation	3.1	new	2/6/2018
CCNA	Contract Administration	3.0	14-01	8/26/2014
Law Enforcement Trust Fund	Police Department	3.0	15-14	2/12/2016
Payroll Process	Revenue & Finance	3.0	16-02	4/18/2016
Accounts Payable	Revenue & Finance	3.0	15-05	11/2/2015
Electronics Division	Technology & Innovation	3.0	04-08	4/29/2004
TSS - Customer Service & Procurement	TSS	3.0	new	
Housing Programs - Mortgage Servicing	Planning & Development	3.0	17-07	10/31/2017
Banking & Cashiering - Parks & Recreation	Revenue & Finance	3.0	14-05C	2/15/2016
Purchasing Legal Compliance	Purchasing	3.0	12-03	1/15/2013
Fire CAD (Astra) Controls and Application Review	Technology & Innovation	3.0	new	
P&R - Planning, Design, and Natural Resources	Parks & Recreation	2.9	13-06	7/2/2013
Vulnerability Assessment	Technology & Innovation	2.9	15-16	1/28/2016
Wireless LAN, WAN Security Review	Technology & Innovation	2.9	new	
Rental Certificates	Neighborhood Empowerment	2.9	03-18	3/28/2003
P&R - Athletics	Parks & Recreation	2.9	17-03	6/18/2018
Accounts Receivable, Billing & Leases	Revenue & Finance	2.9	18-08	4/17/2018
P&R - Aquatics	Parks & Recreation	2.9	15-11	12/3/2015
Archives and Records	City Clerk	2.9	05-08	3/4/2005
Banking & Cashiering - TPD	Revenue & Finance	2.9	14-05B	12/22/2014
Security Policies and IT Procedure Review	Technology & Innovation	2.9	16-09	8/17/2016
Database Security Review	Technology & Innovation	2.9	new	
Disaster Recovery & Business Continuity Planning	Technology & Innovation	2.9	new	
Traffic Citations & Assessments	Police Department	2.8	18-15	2/19/2008
Fleet - Sublet Program	LAM	2.8	07-19	2/18/2008
Fleet - Parts Store	LAM	2.8	new	
P&R - Special Facilities	Parks & Recreation	2.8	17-17	5/18/2018
P&R - Administration	Parks & Recreation	2.8	10-10	2/25/2011
Training - TPD	Police Department	2.8	16-05	4/22/2016
Budget Operations	Revenue & Finance	2.8	15-03	11/28/2015
Network Infrastructure Review	Technology & Innovation	2.8	new	
TSS - Street Light Program	TSS	2.8	13-11	2/17/2014
TSS - Sidewalk Program	TSS	2.8	09-16	12/16/2009
Wastewater - Collection System Reactive Maintenance	Wastewater	2.8	17-18	12/18/2017
Logical Access Security Review	Technology & Innovation	2.7	new	
Remote Access/VPN Review	Technology & Innovation	2.7	new	
Business Tax	Neighborhood Empowerment	2.7	14-03	3/19/2014
On Street Parking and Enforcement	LAM	2.7	16-10	9/26/2016

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P&R - Recreation Services (including Arts/Seniors Centers)	Parks & Recreation	2.7	18-14	
Customer Service Center Controls and Application Review	Technology & Innovation	2.7	new	
Backup and Recovery Review	Technology & Innovation	2.7	14-08	9/23/2014
Facility Management	LAM	2.6	17-15	3/19/2018
LAN, WAN Hardware Review	Technology & Innovation	2.6	new	
TCC Operations	Convention Center & Tourism	2.6	15-10	2/11/2016
Wastewater - Collection System Support Services	Wastewater	2.6	new	
Off Duty Program	Tampa Fire Rescue	2.6	16-13	12/1/2016
Hardware Inventory/Asset Management Review (including decommissioning)	Technology & Innovation	2.6	new	
Windows Security Review	Technology & Innovation	2.6	new	
Commercial Operations(incl. Compactor/Roll-off - Solid Waste	Solid Waste & Environmental	2.6	17-06	4/4/2017
TSS- Traffic Management Center (Traffic Signal Maintenance and Timings, Tr	TSS	2.6	18-?	
Land Development Coordination Division	Planning & Development	2.5	18-07	4/17/2018
Construction Services - Intake/Review	Planning & Development	2.5	16-08	9/26/2016
Environmental Compliance - T&I	Technology & Innovation	2.5	new	
Art Programs	Economic Opportunity	2.5	05-25	2/10/2005
Emergency Management	Tampa Fire Rescue	2.5	17-04	5/11/2017
Customer Service Center	Technology & Innovation	2.4	05-16	6/3/2005
Forensic Unit	Police Department	2.4	14-09	7/10/2014
Residential Operations - Solid Waste	Solid Waste & Environmental	2.4	18-05	9/28/2011
Training & Development	Human Resources	2.4	18-06	3/1/2003
Employee Relations and Ethics Policy	Human Resources	2.4	15-12	1/11/2016
Employee Travel	Revenue & Finance	2.4	05-30	4/14/2005
Neighborhood Enhancement	Neighborhood Empowerment	2.3	16-01	4/28/2016
Housing Programs - Office of Human Rights	Planning & Development	2.3	16-11	1/30/2017
Dealership Maintenance Program	Police Department	2.3	15-09	8/24/2015
Gasoline Credit Card	Revenue & Finance	2.3	16-16	2/17/2017
Service Desk/Help Desk Response and Effectiveness	Technology & Innovation	2.3	new	8/31/2017
Foreclosure Registry	Neighborhood Empowerment	2.3	13-01	7/23/2013
Maintenance of Traffic and Right-of-Way Permitting	Planning & Development	2.3	15-13	3/1/2016
Training - Fire	Tampa Fire Rescue	2.2	17-11	7/18/2017
P&R - Special Events & Permitting	Parks & Recreation	2.2	16-14	11/16/2016
Fixed Assets	Revenue & Finance	2.2	17-05	7/18/2017
TSS - Fleet and Equipment Maintenance	TSS	2.2	17-12	2/22/2018
False Alarms - Fire	Tampa Fire Rescue	2.1	18-01	2/6/2018
Historic Preservation	Planning & Development	2.1	17-08	7/18/2017
False Alarms - TPD	Police Department	2.1	18-10	5/16/2018
Contract Compliance for Third Party Outsourcing	Technology & Innovation	1.9	new	
Purchasing Professional Services	Purchasing	1.9	18-09	4/17/2018
Records Division and CRIME REPORTING	Police Department	1.9	17-13	8/31/2017
TCC Event Services	Convention Center & Tourism	1.9	17-21	12/14/2017
Purchase Card	Purchasing	1.8	18-04	3/1/2013
Program Change Control/Problem Management	Technology & Innovation	1.7	15-04	7/10/2015
Employment Services	Human Resources	1.7	17-09	8/31/2017
TSS - Special Events & Signs	TSS	1.7	17-02	5/11/2017
Oracle HRMS Controls and Application Review	Technology & Innovation	1.6	new	
Solid Waste - Asset Division	Solid Waste & Environmental	1.6	18-03	3/13/2018
Solid Waste - Central Dispatch	Solid Waste & Environmental	1.5	17-16	8/31/2017
TCC Food & Beverage Contract	Convention Center & Tourism	1.4	17-01	2/28/2017
Wastewater - New/Modified Service Request Processing	Wastewater	1.4	15-08	8/28/2015
Recycling Program	Solid Waste & Environmental	1.4	18-12	7/17/2018
Interfund Transfers	Revenue & Finance	1.2	17-19	11/17/2017